



# Simulating Ocean Surfaces

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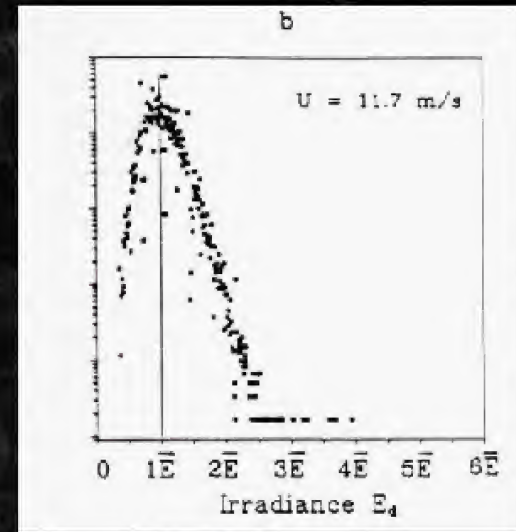
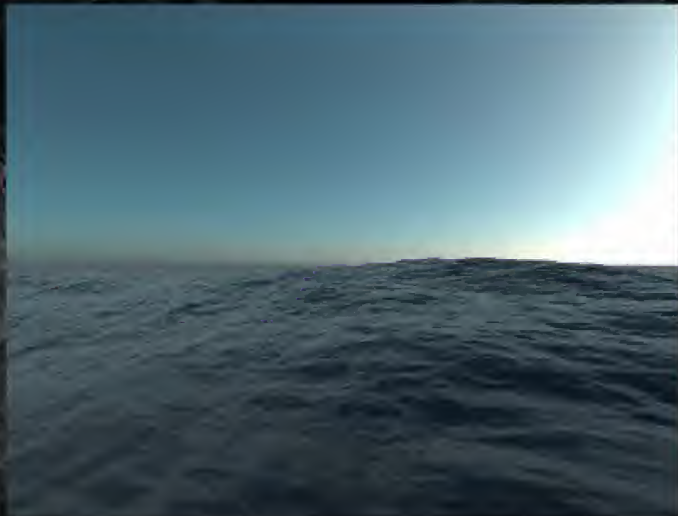
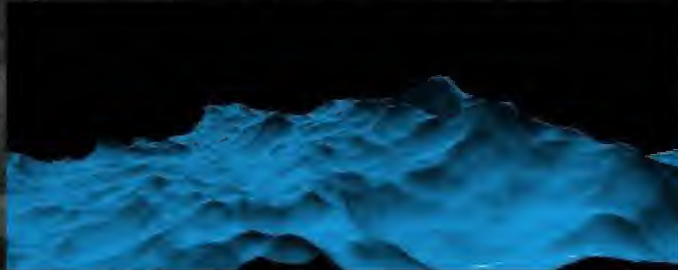








# Objectives



- *Oceanography concepts*
- *Random wave math*
- *Hints for realistic look*
- *Advanced things*

$$h(x, z, t) = \int_{-\infty}^{\infty} dk_x dk_z \tilde{h}(\mathbf{k}, t) \exp \{i(k_x x + k_z z)\}$$

$$\tilde{h}(\mathbf{k}, t) = \tilde{h}_0(\mathbf{k}) \exp \{-i\omega_0(\mathbf{k})t\} + \tilde{h}_0^*(-\mathbf{k}) \exp \{i\omega_0(\mathbf{k})t\}$$





|             |                     |                           |
|-------------|---------------------|---------------------------|
| Waterworld  | 13th Warrior        | Fifth Element             |
| Truman Show | Titanic             | Double Jeopardy           |
| Hard Rain   | Deep Blue Sea       | Devil's Advocate          |
| Contact     | Virus               | 20k Leagues Under the Sea |
| Cast Away   | World Is Not Enough | 13 Days                   |





# Navier-Stokes Fluid Dynamics

Force Equation

$$\frac{\partial \mathbf{u}(\mathbf{x}, t)}{\partial t} + \mathbf{u}(\mathbf{x}, t) \cdot \nabla \mathbf{u}(\mathbf{x}, t) + \nabla p(\mathbf{x}, t) / \rho = -g \hat{\mathbf{y}} + \mathbf{F}$$

Mass Conservation

$$\nabla \cdot \mathbf{u}(\mathbf{x}, t) = 0$$

Solve for functions of space and time:  $\left\{ \begin{array}{l} \bullet 3 \text{ velocity components} \\ \bullet \text{ pressure } p \\ \bullet \text{ density } \rho \text{ distribution} \end{array} \right\}$

Boundary conditions are important constraints

**Very hard - Many scientific careers built on this**

# Potential Flow

Special Substitution  $\mathbf{u} = \nabla \phi(\mathbf{x}, t)$

Transforms the equations into

$$\frac{\partial \phi(\mathbf{x}, t)}{\partial t} + \frac{1}{2} |\nabla \phi(\mathbf{x}, t)|^2 + \frac{p(\mathbf{x}, t)}{\rho} + g\mathbf{x} \cdot \hat{\mathbf{y}} = 0$$

$$\nabla^2 \phi(\mathbf{x}, t) = 0$$

This problem is **MUCH** simpler computationally and mathematically.



## Free Surface Potential Flow

In the water volume, mass conservation is enforced via

$$\phi(\mathbf{x}) = \int_{\partial V} dA' \left\{ \frac{\partial \phi(\mathbf{x}')}{\partial n'} G(\mathbf{x}, \mathbf{x}') - \phi(\mathbf{x}') \frac{\partial G(\mathbf{x}, \mathbf{x}')}{\partial n'} \right\}$$

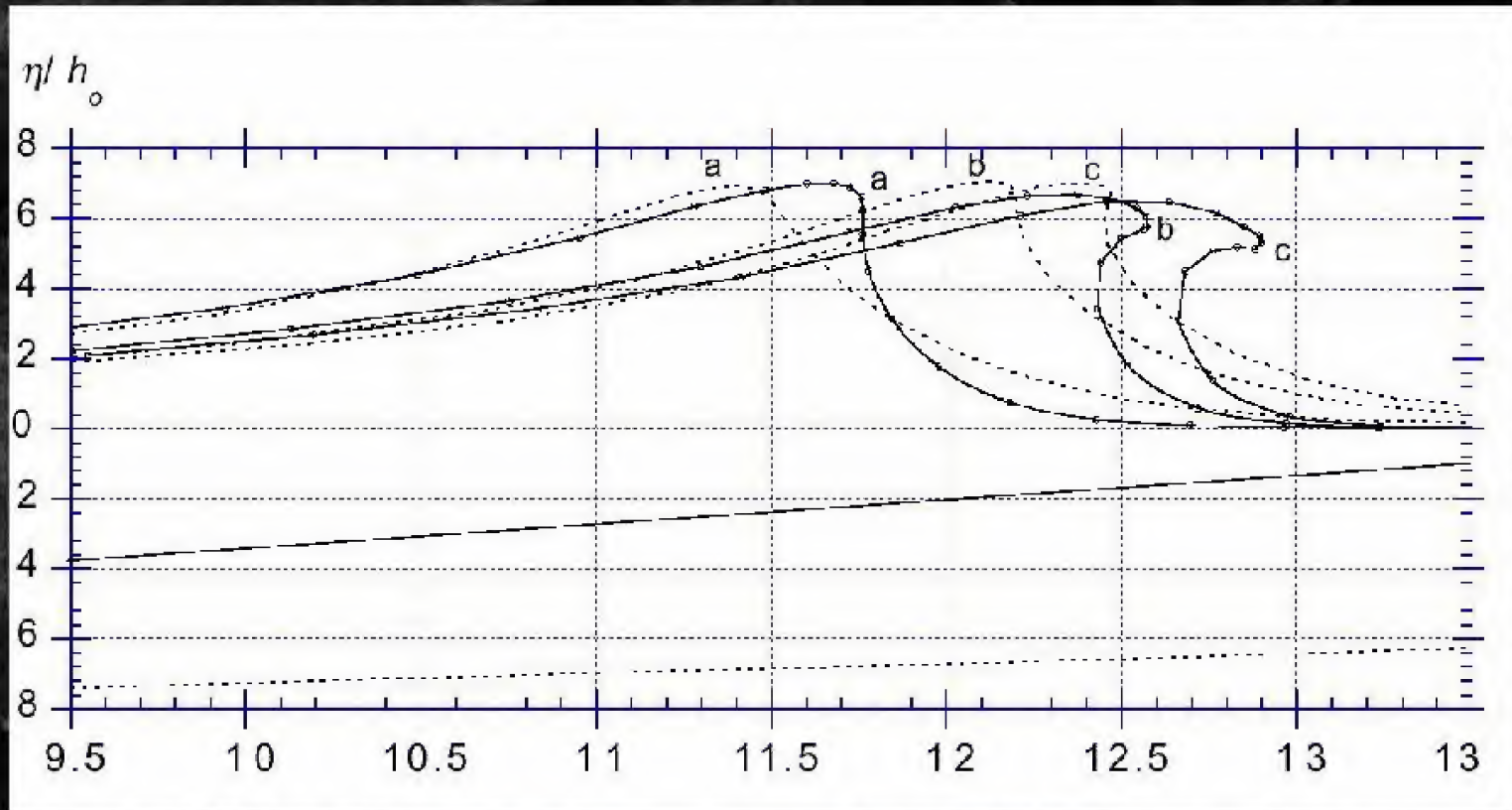
At points  $\mathbf{r}$  on the surface

$$\frac{\partial \phi(\mathbf{r}, t)}{\partial t} + \frac{1}{2} |\nabla \phi(\mathbf{r}, t)|^2 + \frac{p(\mathbf{r}, t)}{\rho} + g\mathbf{r} \cdot \hat{\mathbf{y}} = 0$$

Dynamics of surface points:

$$\frac{d\mathbf{r}(t)}{dt} = \nabla \phi(\mathbf{r}, t)$$

## Numerical Wave Tank Simulation

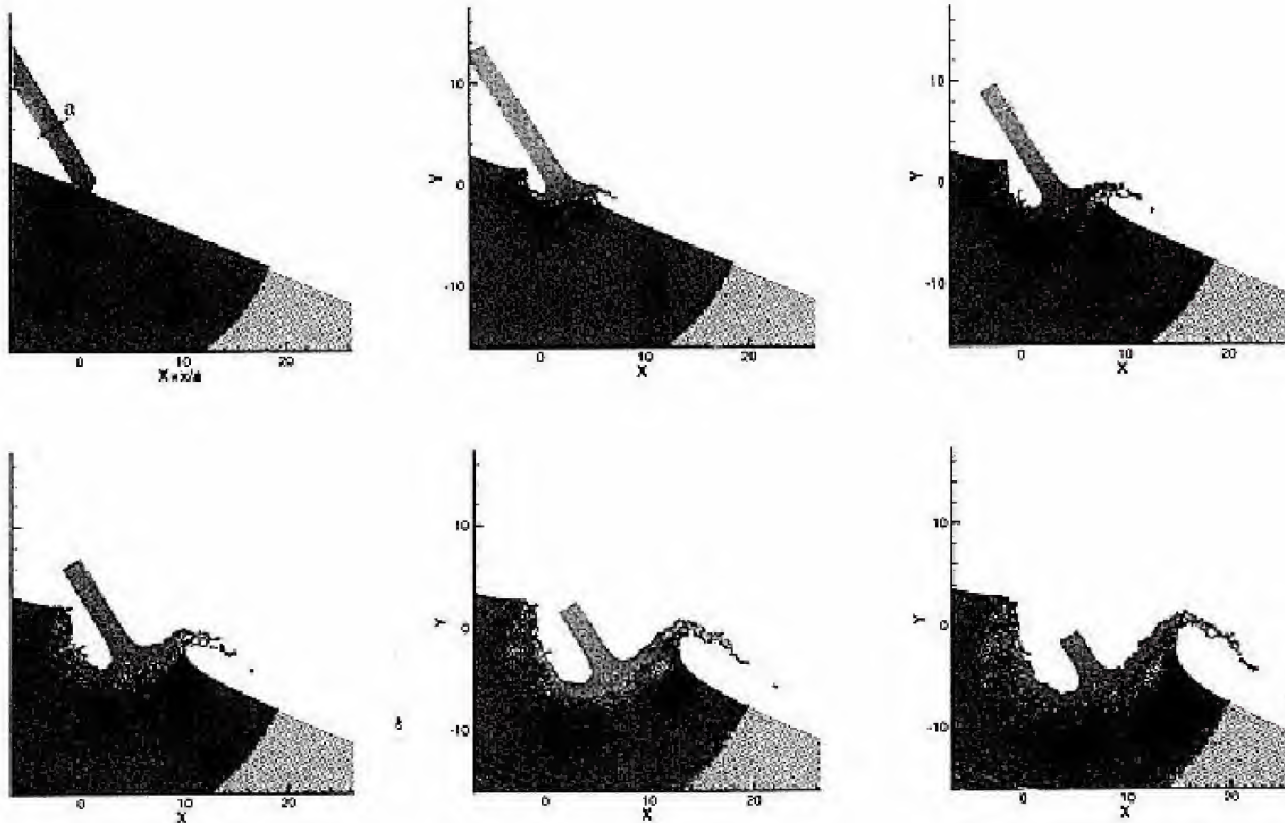


Grilli, Guyenne, Dias (2000)



# Plunging Break and Splash Simulation

**Simulated Jet Impact on Wave Front.  
Gridless Method: Smoothed Particle Hydrodynamics (100K particles).**



Tulin (1999)

## Simplifying the Problem

Road to practicality - ocean surface:

- Simplify equations for relatively mild conditions
- Fill in gaps with oceanography.

Original dynamical equation at 3D points in volume

$$\frac{\partial \phi(\mathbf{r}, t)}{\partial t} + \frac{1}{2} |\nabla \phi(\mathbf{r}, t)|^2 + \frac{p(\mathbf{r}, t)}{\rho} + g\mathbf{r} \cdot \hat{\mathbf{y}} = 0$$

Equation at 2D points  $(x, z)$  on surface with height  $h$

$$\frac{\partial \phi(x, z, t)}{\partial t} = -gh(x, z, t)$$



## Simplifying the Problem: Mass Conservation

Vertical component of velocity

$$\frac{\partial h(x, z, t)}{\partial t} = \hat{\mathbf{y}} \cdot \nabla \phi(x, z, t)$$

Use mass conservation condition

$$\hat{\mathbf{y}} \cdot \nabla \phi(x, z, t) \sim \left( \sqrt{-\nabla_H^2} \right) \phi = \left( \sqrt{-\frac{\partial^2}{\partial x^2} - \frac{\partial^2}{\partial z^2}} \right) \phi$$

## Linearized Surface Waves

$$\frac{\partial h(x, z, t)}{\partial t} = \left( \sqrt{-\nabla_H^2} \right) \phi(x, z, t)$$

$$\frac{\partial \phi(x, z, t)}{\partial t} = -gh(x, z, t)$$

General solution easily computed in  
terms of Fourier Transforms



## Solution for Linearized Surface Waves

General solution in terms of Fourier Transform

$$h(x, z, t) = \int_{-\infty}^{\infty} dk_x dk_z \tilde{h}(\mathbf{k}, t) \exp \{i(k_x x + k_z z)\}$$

with the amplitude depending on the *dispersion relationship*

$$\omega_0(\mathbf{k}) = \sqrt{g |\mathbf{k}|}$$

$$\tilde{h}(\mathbf{k}, t) = \tilde{h}_0(\mathbf{k}) \exp \{-i\omega_0(\mathbf{k})t\} + \tilde{h}_0^*(-\mathbf{k}) \exp \{i\omega_0(\mathbf{k})t\}$$

The complex amplitude  $\tilde{h}_0(\mathbf{k})$  is arbitrary.

## Oceanography

- Think of the heights of the waves as a kind of random process
- Decades of detailed measurements support a statistical description of ocean waves.
- The wave height has a spectrum

$$\left\langle \left| \tilde{h}_0(\mathbf{k}) \right|^2 \right\rangle = P_0(\mathbf{k})$$

- Oceanographic models tie  $P_0$  to environmental parameters like wind velocity, temperature, salinity, etc.



## Models of Spectrum

- Wind speed  $V$
- Wind direction vector  $\hat{\mathbf{V}}$  (horizontal only)
- Length scale of biggest waves  $L = V^2/g$   
( $g$ =gravitational constant)

### Phillips Spectrum

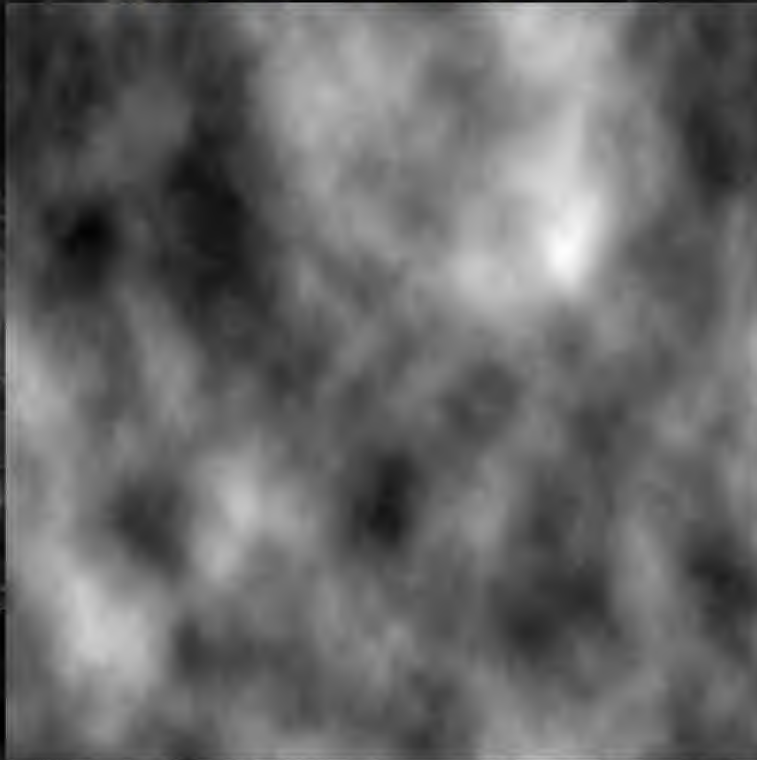
$$P_0(\mathbf{k}) = \left| \hat{\mathbf{k}} \cdot \hat{\mathbf{V}} \right|^2 \frac{\exp(-1/k^2 L^2)}{k^4}$$

### JONSWAP Frequency Spectrum

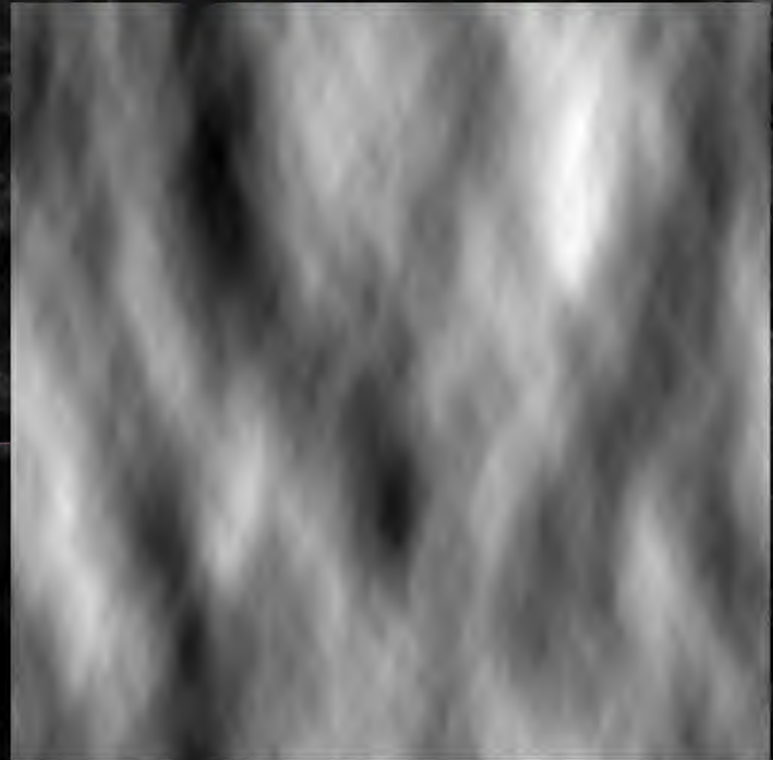
$$P_0(\omega) = \frac{\exp \left\{ -\frac{5}{4} \left( \frac{\omega}{\Omega} \right)^{-4} + e^{-(\omega - \Omega)^2 / 2(\sigma \Omega)^2} \ln \gamma \right\}}{\omega^5}$$

## Variation in Wave Height Field

Pure Phillips Spectrum



Modified Phillips Spectrum





## Simulation of a Random Surface

Generate a set of “random” amplitudes on a grid

$$\tilde{h}_0(\mathbf{k}) = \xi e^{i\theta} \sqrt{P_0(\mathbf{k})}$$

$\xi$  = Gaussian random number, mean 0 & std dev 1

$\theta$  = Uniform random number  $[0, 2\pi]$ .

$$k_x = \frac{2\pi}{\Delta x} \frac{n}{N} \quad (n = -N/2, \dots, (N-1)/2)$$

$$k_z = \frac{2\pi}{\Delta z} \frac{m}{M} \quad (m = -M/2, \dots, (M-1)/2)$$

## FFT of Random Amplitudes

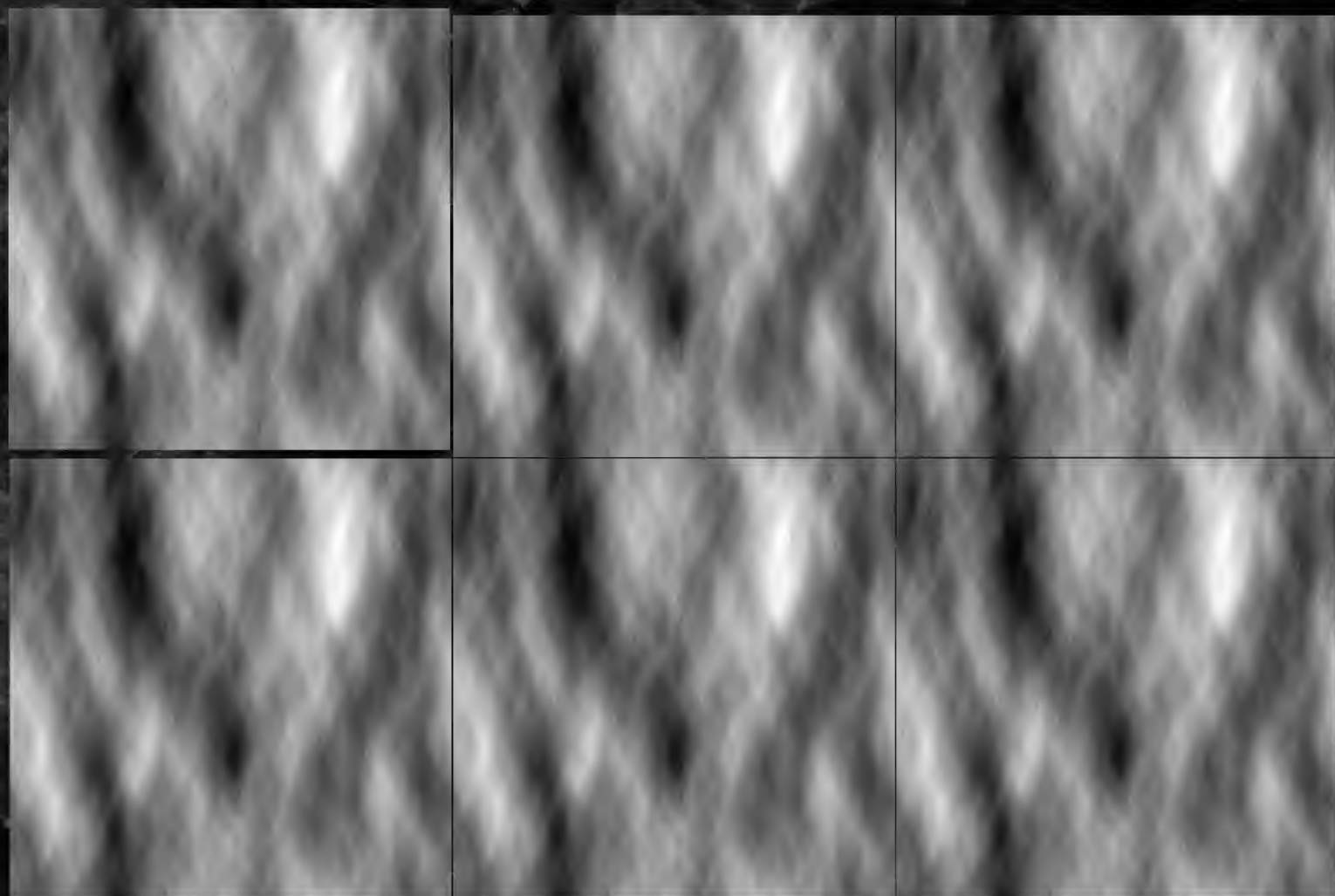
Use the Fast Fourier Transform (FFT) on the amplitudes to obtain the wave height realization  $h(x, z, t)$

Wave height realization exists on a regular, periodic grid of points.

$$\begin{aligned}x &= n\Delta x & (n = -N/2, \dots, (N-1)/2) \\z &= m\Delta z & (m = -M/2, \dots, (M-1)/2)\end{aligned}$$

The realization tiles seamlessly. This can sometimes show up as repetitive waves in a render.





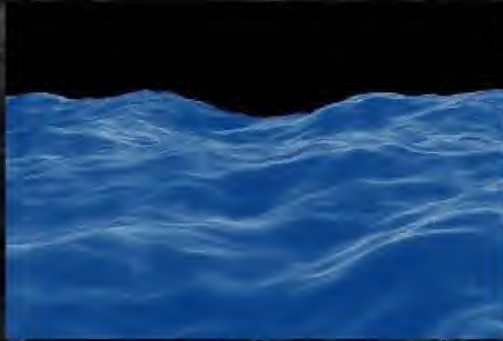
# High Resolution Rendering

Sky reflection, upwelling light, sun glitter  
1 inch facets, 1 kilometer range





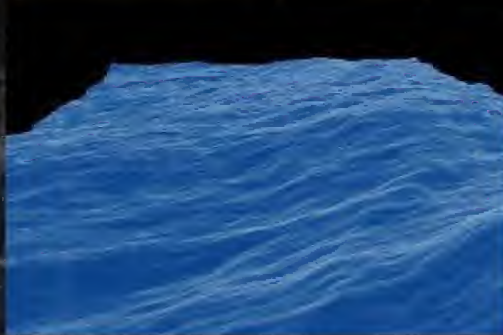
## Effect of Resolution



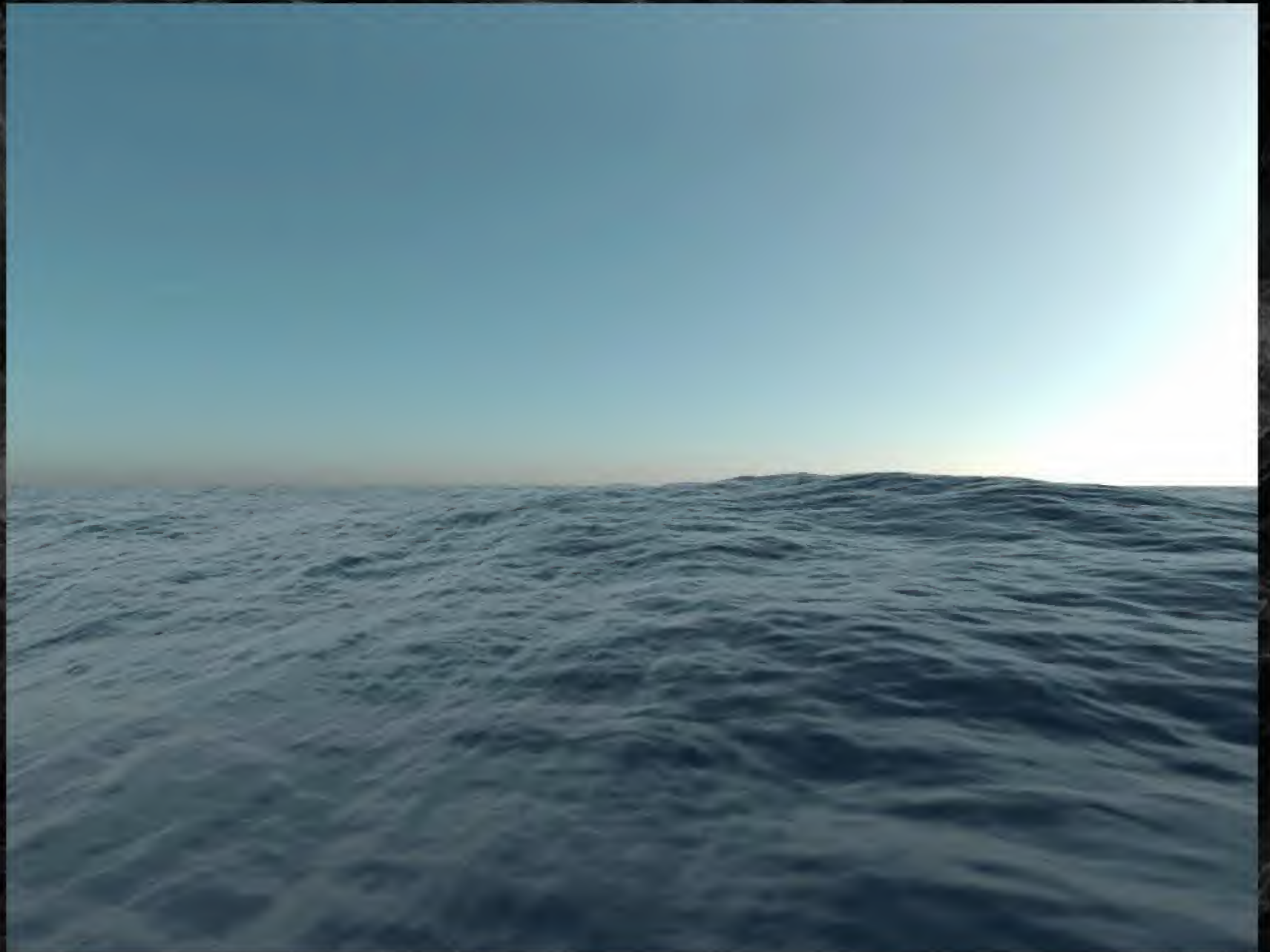
Low : 100 cm facets



Medium : 10 cm facets



High : 1 cm facets







## Simple Demonstration of Dispersion

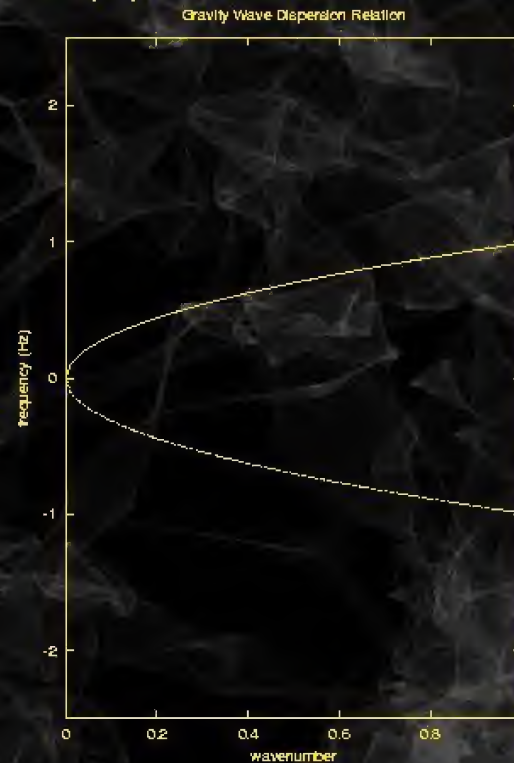


256 frames,  $256 \times 128$  region

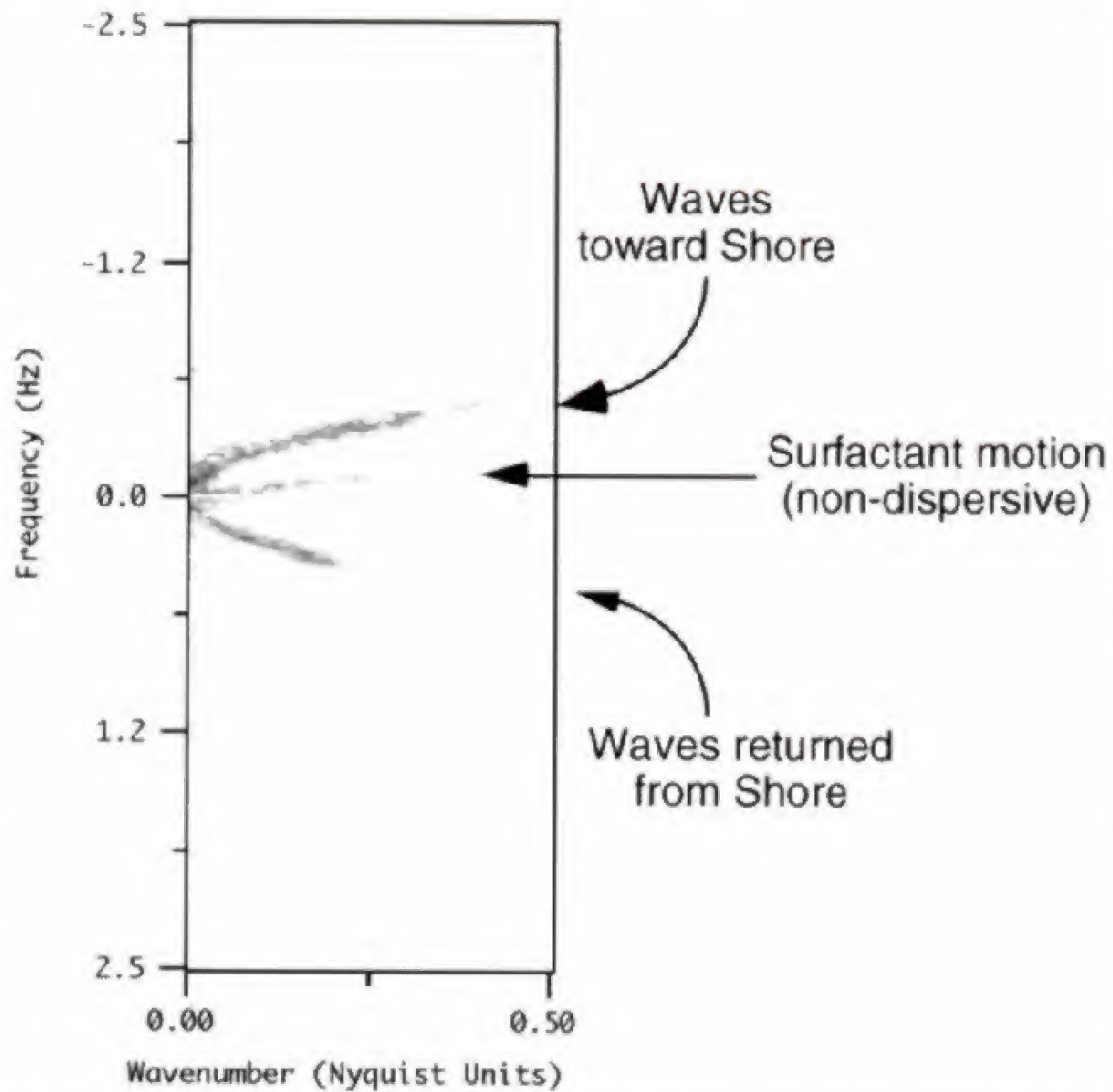


## Data Processing

- Fourier transform in both time and space:  $\tilde{h}(\mathbf{k}, \omega)$
- Form Power Spectral Density  $P(\mathbf{k}, \omega) = \left\langle \left| \tilde{h}(\mathbf{k}, \omega) \right|^2 \right\rangle$
- If the waves follow dispersion relationship, then  $P$  is strongest at frequencies  $\omega = \omega(k)$ .



## Processing Results





## Looping in Time – Continuous Loops

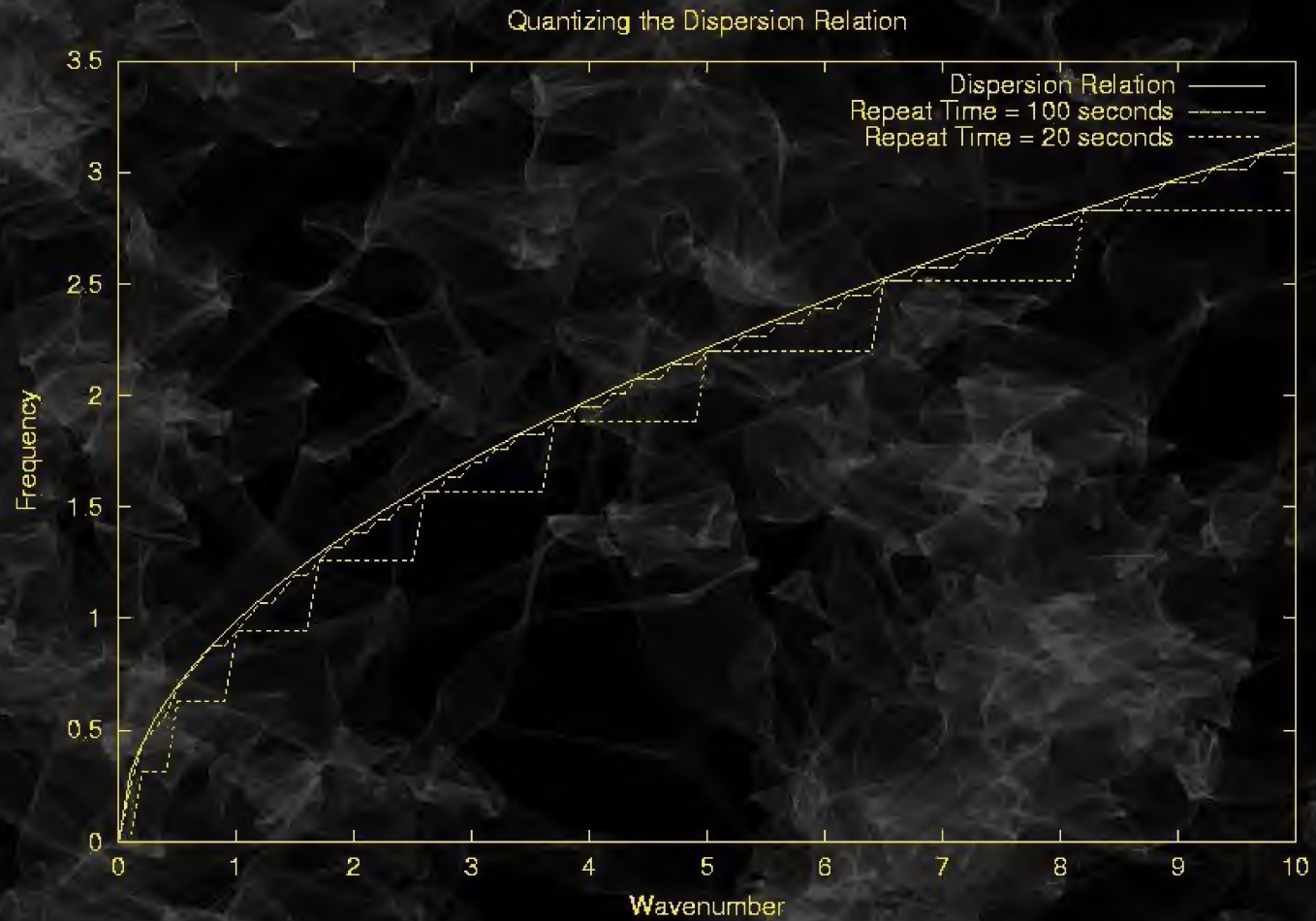
- Continuous loops can't be made because dispersion doesn't have a fundamental frequency.
- Loops can be made by modifying the dispersion relationship.

Repeat time  $T$

Fundamental Frequency  $\omega_0 = \frac{2\pi}{T}$

New dispersion relation  $\tilde{\omega} = \text{integer} \left( \frac{\omega(k)}{\omega_0} \right) \omega_0$

# Quantized Dispersion Relation



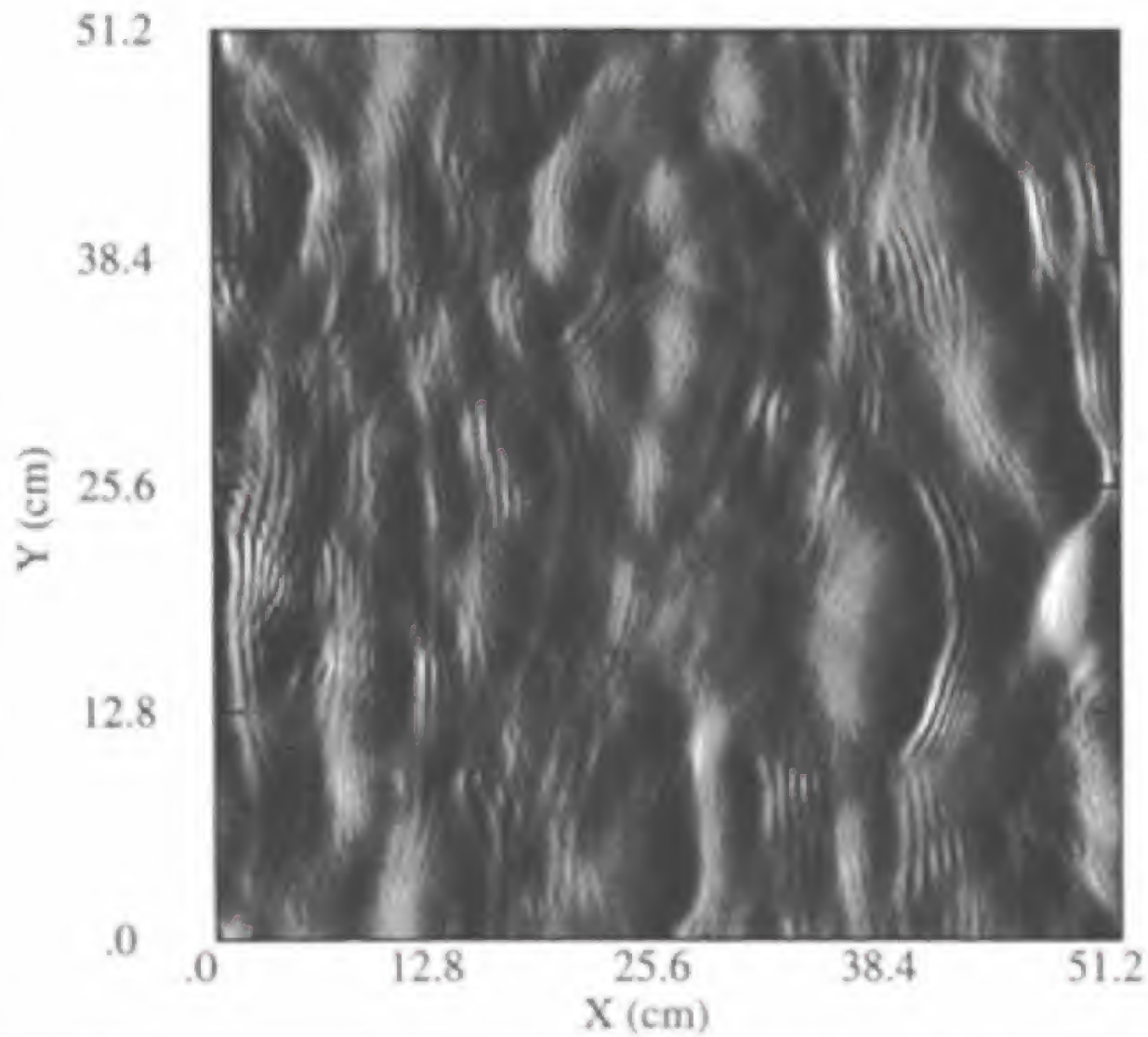


# Hamiltonian Approach for Surface Waves

Miles, Milder, Henyey, ...

- If a crazy-looking surface operator like  $\sqrt{-\nabla_H^2}$  is ok, the exact problem can be recast as a *canonical problem* with momentum  $\phi$  and coordinate  $h$  in 2D.
- Milder has demonstrated numerically:
  - The onset of wave breaking
  - Accurate capillary wave interaction
- Henyey *et al.* introduced *Canonical Lie Transformations*:
  - Start with the solution of the linearized problem -  $(\phi_0, h_0)$
  - Introduce a continuous set of transformed fields -  $(\phi_q, h_q)$
  - The exact solution for surface waves is for  $q = 1$ .

## Surface Wave Simulation (Milder, 1990)





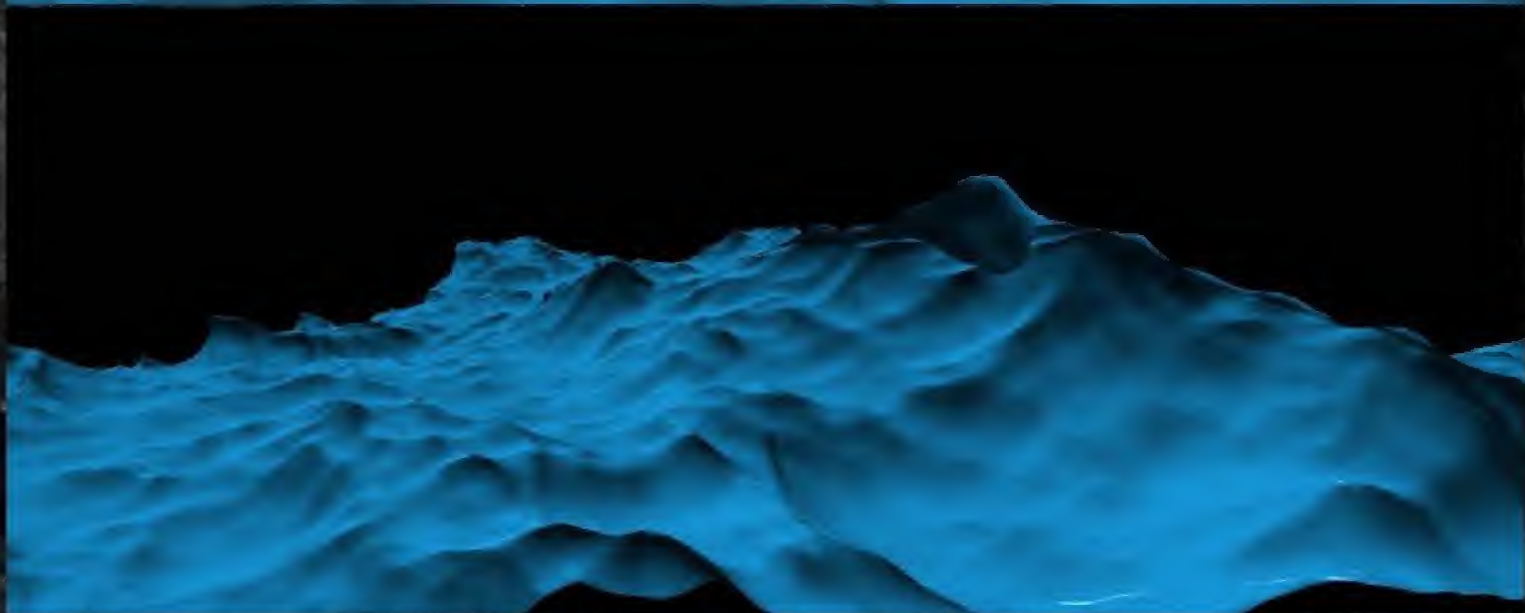
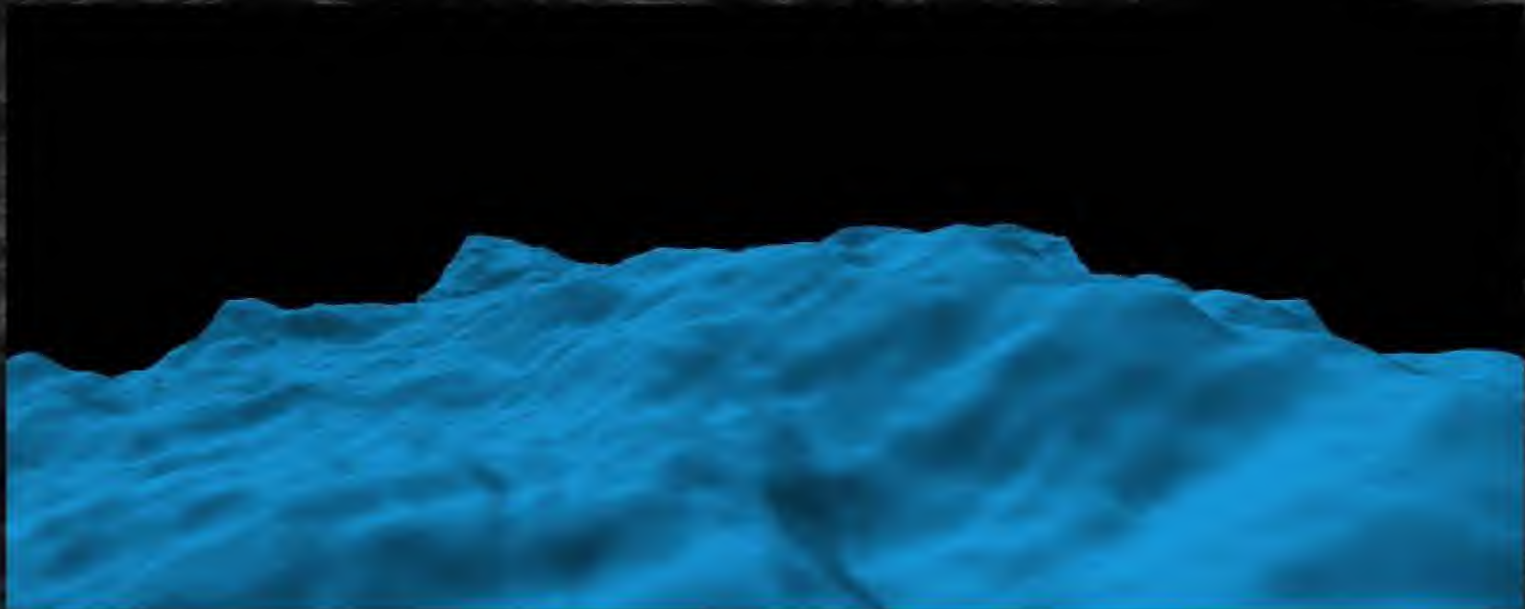
## Choppy, Near-Breaking Waves

Horizontal velocity becomes important for distorting wave.

Wave at  $\mathbf{x}$  morphs horizontally to the position  $\mathbf{x} + \mathbf{D}(\mathbf{x}, t)$

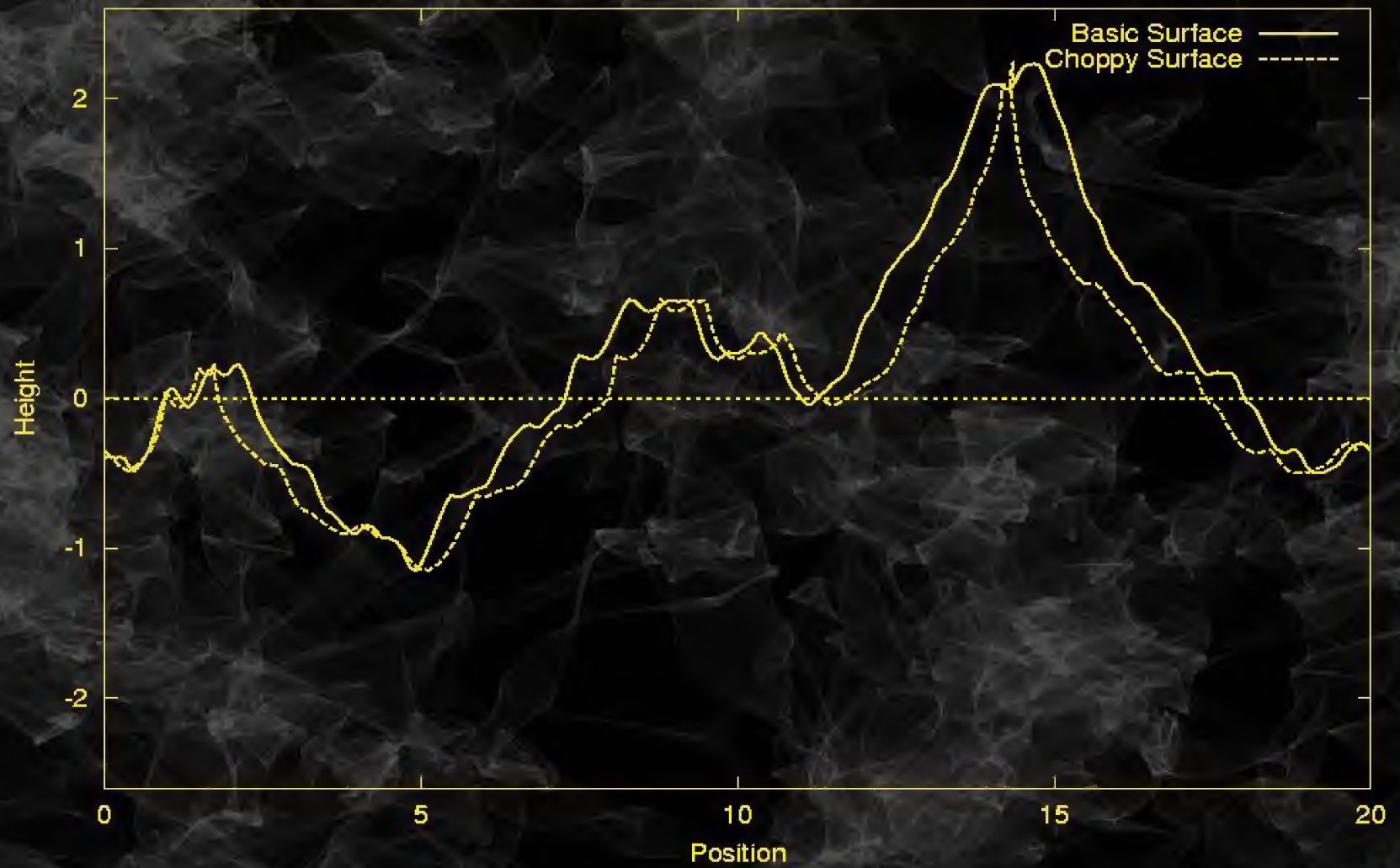
$$\mathbf{D}(\mathbf{x}, t) = -\lambda \int d^2k \frac{i\mathbf{k}}{|\mathbf{k}|} \tilde{h}(\mathbf{k}, t) \exp \{i(k_x x + k_z z)\}$$

The factor  $\lambda$  allows artistic control over the magnitude of the morph.

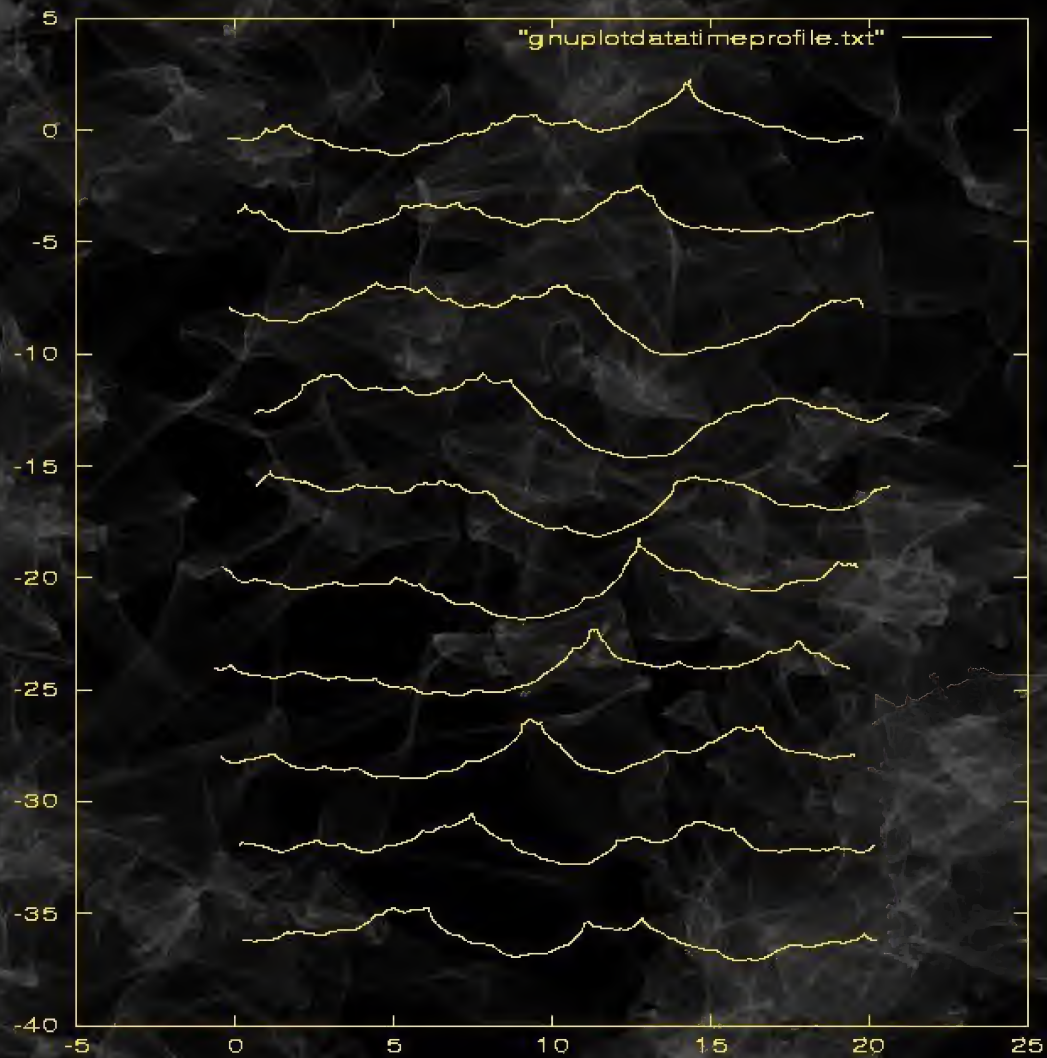




Water Surface Profiles



# Time Sequence of Choppy Waves





## Choppy Waves: Detecting Overlap

$$\mathbf{x} \rightarrow \mathbf{X}(\mathbf{x}, t) = \mathbf{x} + \mathbf{D}(\mathbf{x}, t)$$

is unique and invertible as long as the surface does not intersect itself.

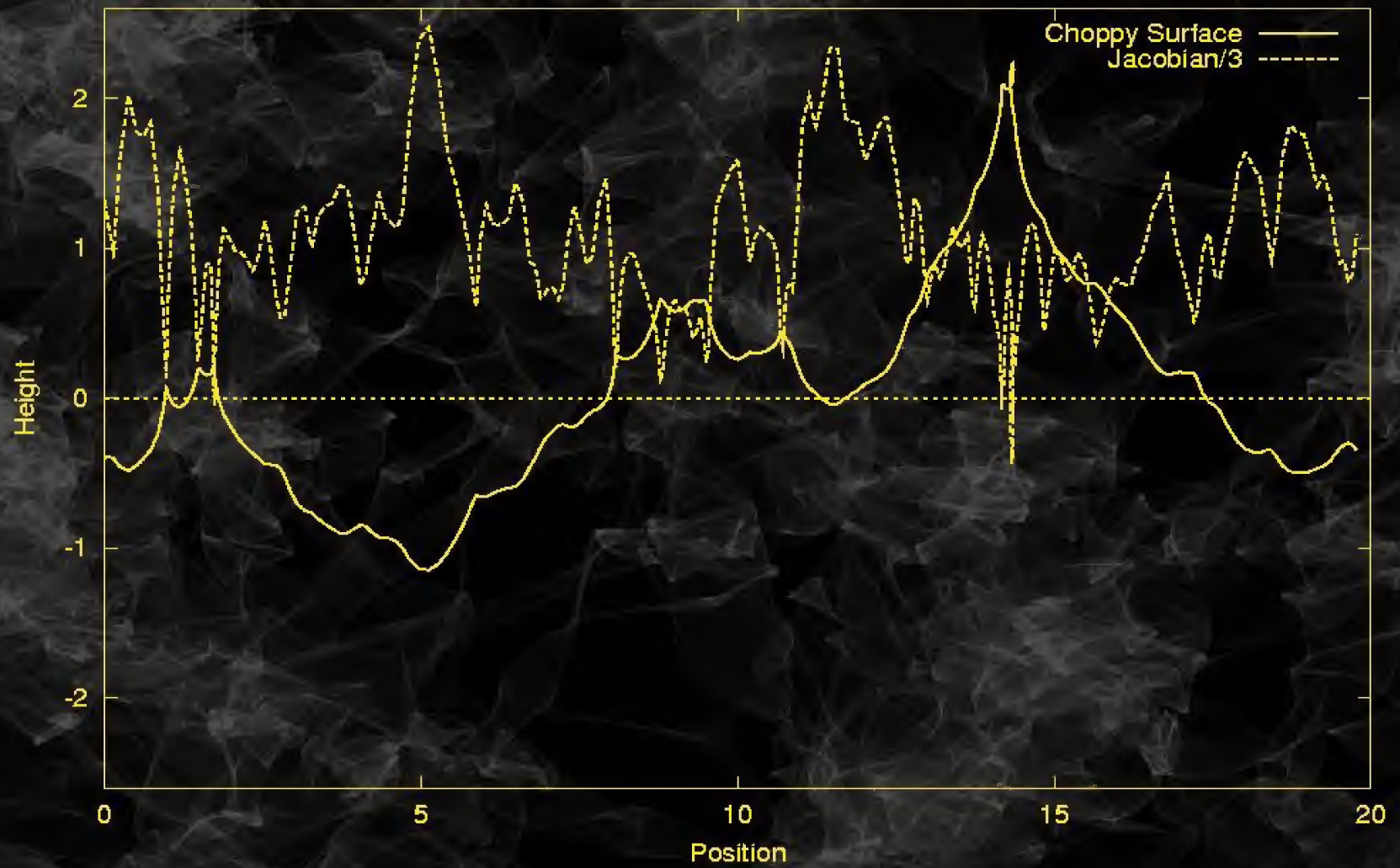
When the mapping intersects itself, it is not unique. The quantitative measure of this is the *Jacobian* matrix

$$J(\mathbf{x}, t) = \begin{bmatrix} \partial \mathbf{X}_x / \partial x & \partial \mathbf{X}_x / \partial z \\ \partial \mathbf{X}_z / \partial x & \partial \mathbf{X}_z / \partial z \end{bmatrix}$$

The signal that the surface intersects itself is

$$\det(J) \leq 0$$

Water Surface Profiles





## Learning More About Overlap

Two *eigenvalues*,  $J_- \leq J_+$ , and *eigenvectors*  $\hat{e}_-$ ,  $\hat{e}_+$

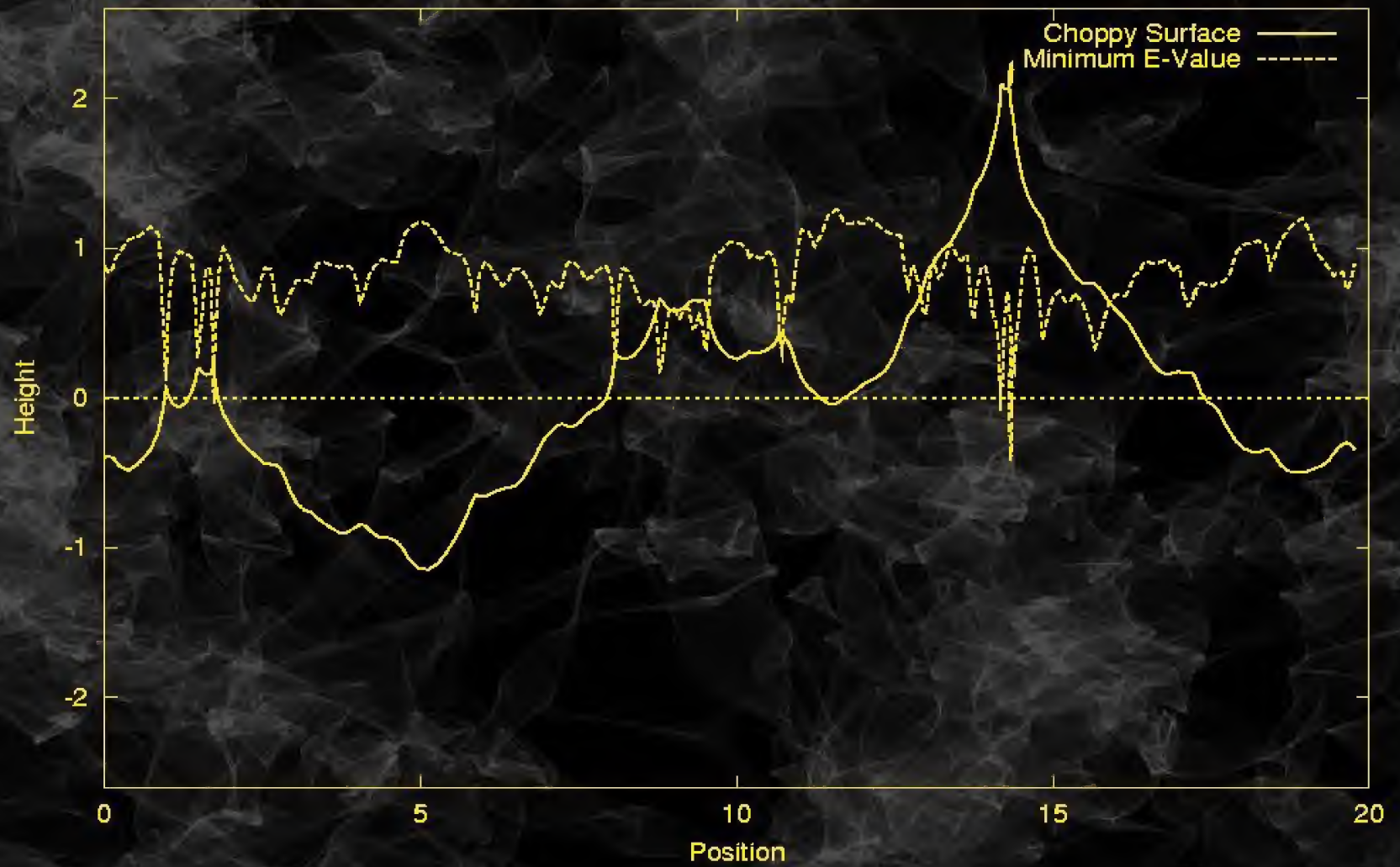
$$J = J_- \hat{e}_- \hat{e}_- + J_+ \hat{e}_+ \hat{e}_+$$

$$\det(J) = J_- J_+$$

For no chop,  $J_- = J_+ = 1$ . As the displacement magnitude increases,  $J_+$  stays positive while  $J_-$  becomes negative at the location of overlap.

At overlap,  $J_- < 0$ , the alignment of the overlap is parallel to the eigenvalue  $\hat{e}_-$ .

# Water Surface Profiles





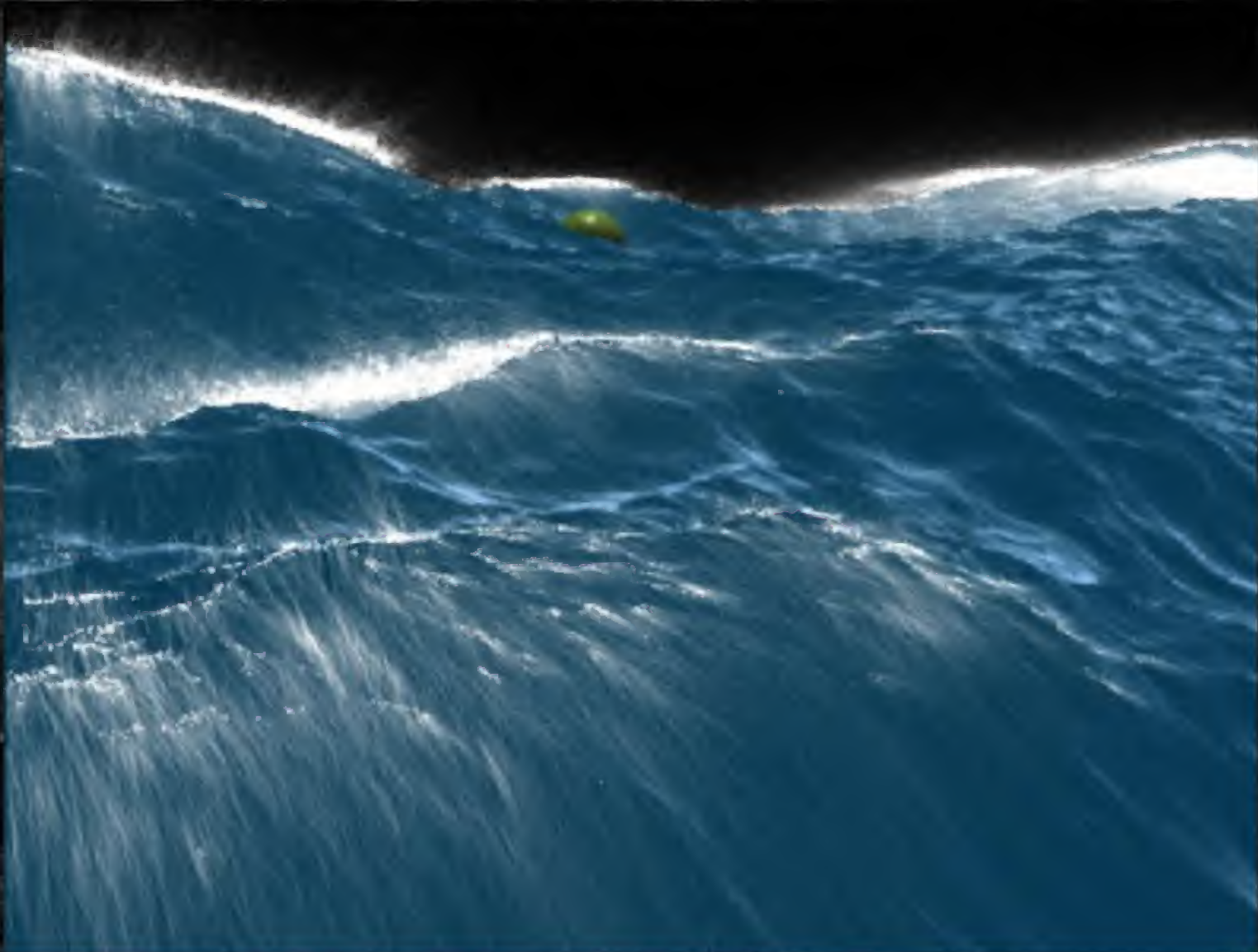
## Simple Spray Algorithm

- Pick a point on the surface at random
- Emit a spray particle if  $J_- < J_T$  threshold
- Particle initial direction ( $\hat{\mathbf{n}}$  = surface normal)

$$\hat{\mathbf{v}} = \frac{(J_T - J_-)\hat{\mathbf{e}}_- + \hat{\mathbf{n}}}{\sqrt{1 + (J_T - J_-)^2}}$$

- Particle initial speed from a half-gaussian distribution with mean proportional to  $J_T - J_-$ .
- Simple particle dynamics: gravity and wind drag

## Surface and Spray Render





## Summary

- FFT-based random ocean surfaces are fast to build, realistic, and flexible.
- Based on a mixture of theory and experimental phenomenology.
- Used alot in professional productions.
- Real-time capable for games
- Lots of room for more complex behaviors.

Latest version of course notes and slides:

<http://home1.gte.net/tssndrf/index.html>

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